

# Finite Element Complexes with Traces Structures: A unified framework for cohomology and bounded interpolation

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- **de Rham complex**

$$0 \longrightarrow \Lambda^0(\Omega) \xrightarrow{d^0} \Lambda^1(\Omega) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \Lambda^n(\Omega) \longrightarrow 0$$

de Rham cohomology  $\mathcal{H}_{dR}^k(\Omega) := \frac{\ker(d: \Lambda^k(\Omega) \rightarrow \Lambda^{k+1}(\Omega))}{\text{im}(d: \Lambda^{k-1}(\Omega) \rightarrow \Lambda^k(\Omega))}$ .

- **Finite element differential forms** on simplicial meshes:

$$0 \longrightarrow \Lambda_h^0(\Omega) \xrightarrow{d^0} \Lambda_h^1(\Omega) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \Lambda_h^n(\Omega) \longrightarrow 0$$

The discrete de Rham cohomology  $\mathcal{H}_{dR,h}^k(\Omega) := \frac{\ker(d: \Lambda_h^k(\Omega) \rightarrow \Lambda_h^{k+1}(\Omega))}{\text{im}(d: \Lambda_h^{k-1}(\Omega) \rightarrow \Lambda_h^k(\Omega))}$  is isomorphic to  $\mathcal{H}_{dR}^k(\Omega)$ .

- **Ref:** [Arnold & Falk & Winther, 2006], [Christiansen & Winther, 2008], [Licht, 2017], etc.

# de Rham map

Consider the case of  $\Omega \subset \mathbb{R}^3$ , let  $\Delta(\mathcal{T}_h)$  be a triangulation (simplicial complex) of  $\Omega$ , the set of all oriented  $k$ -simplices of  $\Delta(\mathcal{T}_h)$  is denoted by  $\Delta_k$ .

$$\begin{array}{ccccccccccc} 0 & \longrightarrow & C^\infty(\Omega) & \xrightarrow{\text{grad}} & C^\infty(\Omega; \mathbb{R}^3) & \xrightarrow{\text{curl}} & C^\infty(\Omega; \mathbb{R}^3) & \xrightarrow{\text{div}} & C^\infty(\Omega) & \longrightarrow & 0 \\ & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \Upsilon_2 \downarrow & & \Upsilon_3 \downarrow & & \\ 0 & \longrightarrow & \mathbb{R} \otimes \Delta'_0 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_1 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_2 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_3 & \longrightarrow & 0 \end{array}$$

$$\begin{aligned} \Upsilon_0[\phi](x) &= \phi(x), & x \in \Delta_0, & & \Upsilon_1[\phi](e) &= \int_e \phi \cdot t_e, & e \in \Delta_1 \\ \Upsilon_2[\phi](f) &= \int_f \phi \cdot n_f, & f \in \Delta_2, & & \Upsilon_3[\phi](T) &= \int_T \phi, & T \in \Delta_3 \end{aligned}$$

There holds that

$$\delta \circ \Upsilon_0 = \Upsilon_1 \circ \text{grad}, \quad \delta \circ \Upsilon_1 = \Upsilon_2 \circ \text{curl}, \quad \delta \circ \Upsilon_2 = \Upsilon_3 \circ \text{div}$$

**From de Rham's theorem:** *The de Rham cohomology spaces are isomorphic to the simplicial cohomology spaces. Moreover, the isomorphism is induced by  $\{\Upsilon_\bullet\}$ .*

## The Whitney forms

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{P}_-^1 \Lambda^0(\mathcal{T}_h) & \xrightarrow{\text{grad}} & \mathcal{P}_-^1 \Lambda^1(\mathcal{T}_h) & \xrightarrow{\text{curl}} & \mathcal{P}_-^1 \Lambda^2(\mathcal{T}_h) & \xrightarrow{\text{div}} & \mathcal{P}_-^1 \Lambda^3(\mathcal{T}_h) & \longrightarrow & 0 \\
 & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \Upsilon_2 \downarrow & & \Upsilon_3 \downarrow & & \\
 0 & \longrightarrow & \mathbb{R} \otimes \Delta'_0 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_1 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_2 & \xrightarrow{\delta} & \mathbb{R} \otimes \Delta'_3 & \longrightarrow & 0
 \end{array}$$

Note that  $\Upsilon_i$  commutes with differential operators and

$$\mathcal{P}_-^1 \Lambda^i(\mathcal{T}_h) \cong \mathbb{R} \otimes \Delta'_i, \quad 0 \leq i \leq 3$$

**Result:** The cohomology spaces of the Whitney forms are isomorphic to the de Rham cohomology spaces.

# Commuting interpolation

## Commuting interpolation

$$\begin{array}{ccccccc} 0 & \longrightarrow & L^2\Lambda^0(\Omega) & \xrightarrow{d^0} & L^2\Lambda^1(\Omega) & \xrightarrow{d^1} & \dots & \xrightarrow{d^{n-1}} & L^2\Lambda^n(\Omega) & \longrightarrow & 0 \\ & & \pi^0 \downarrow & & \pi^1 \downarrow & & \downarrow & & \pi^n \downarrow & & \\ 0 & \longrightarrow & \Lambda_h^0(\Omega) & \xrightarrow{d^0} & \Lambda_h^1(\Omega) & \xrightarrow{d^1} & \dots & \xrightarrow{d^{n-1}} & \Lambda_h^n(\Omega) & \longrightarrow & 0 \end{array}$$

### Property of $\pi^i$

- **Bounded:**  $\|\pi^k u\|_{H(d^k)} \leq C\|u\|_{H(d^k)}$  or  $\|\pi^k u\|_{L^2} \leq C\|u\|_{L^2}$  for any  $u \in H\Lambda^k(\Omega)$  (or  $u \in L^2\Lambda^k(\Omega)$ ).
- **Commuting:**  $\pi^{k+1} \circ d^k = d^k \circ \pi^k$ .
- **Projection:**  $\pi^k u_h = u_h$  for any  $u_h \in \Lambda_h^k(\Omega)$ .
- **Local:** For each element  $T$ ,  $\pi^i u|_T$  depend solely on a patch of  $T$

### Existing interpolation

- Non-local: [Schöberl, 2005], [Arnold & Falk & Winther, 2006], [Christiansen & Winther, 2008], etc.
- Local: [Falk & Winther, 2014, 2015], [Arnold & Guzmán, 2021], [Ern & Guzmán & Potu & Vohralík, 2025]

# Other complexes

BGG complexes ([Arnold & Hu, 2021])

- **Hessian complex**

$$0 \longrightarrow H^2(\Omega) \xrightarrow{\text{hess}} H(\text{curl}, \Omega; \mathbb{S}) \xrightarrow{\text{curl}} H(\text{div}, \Omega; \mathbb{T}) \xrightarrow{\text{div}} L^2(\Omega; \mathbb{R}^3) \longrightarrow 0$$

- **divdiv complex**

$$0 \longrightarrow H^1(\Omega; \mathbb{R}^3) \xrightarrow{\text{dev grad}} H(\text{sym curl}, \Omega; \mathbb{T}) \xrightarrow{\text{sym curl}} H(\text{div div}, \Omega; \mathbb{S}) \xrightarrow{\text{div div}} L^2(\Omega) \longrightarrow 0$$

- **elasticity complex**

$$0 \longrightarrow H^1(\Omega; \mathbb{R}^3) \xrightarrow{\text{sym grad}} H(\text{inc}, \Omega; \mathbb{S}) \xrightarrow{\text{inc}} H(\text{div}, \Omega; \mathbb{S}) \xrightarrow{\text{div}} L^2(\Omega; \mathbb{R}^3) \longrightarrow 0$$

- **conformal deformation complex, conformal Hessian complex**

Extra smoothness

- **Stokes complex**

$$0 \longrightarrow H^2(\Omega) \xrightarrow{\text{grad}} H^1(\text{curl}, \Omega; \mathbb{R}^3) \xrightarrow{\text{curl}} H^1(\Omega; \mathbb{R}^3) \xrightarrow{\text{div}} L^2(\Omega) \longrightarrow 0$$

## Finite element discretizations

- Hessian complex: [Hu & Liang, 2021],etc.
- divdiv complex: [Chen & Huang, 2022divdiv], [Hu & Liang & Ma, 2022], [Hu & Liang & Ma & Zhang, 2024],etc.
- elasticity complex: [Christiansen & Hu & Hu, 2018], [Chen & Huang, 2022elasticity], [Christiansen & Gopalakrishnan & Guzmán & Hu, 2024],etc.
- Stokes complex: [Falk & Neilan, 2013], [Neilan, 2015], [Fu & Guzmán & Neilan, 2020], [Hu & Zhang & Zhang, 2022], [Guzmán & Lischke & Neilan, 2022],etc.
- conformal deformation complex: [Hu & Lin & Shi, 2023], [Huang, 2025]
- conformal Hessian complex: [Guo & Hu & Lin, 2025], [Huang, 2025]

**Exactness:** These finite element complexes are exact on a contractible domain.

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**Question I:** What about the finite element cohomology on a non-contractible domain?

# Finite element complexes

## Finite element discretizations

- Hessian complex: [Hu & Liang, 2021],etc.
- divdiv complex: [Chen & Huang, 2022divdiv], [Hu & Liang & Ma, 2022], [Hu & Liang & Ma & Zhang, 2024],etc.
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- Stokes complex: [Falk & Neilan, 2013], [Neilan, 2015], [Fu & Guzmán & Neilan, 2020], [Hu & Zhang & Zhang, 2022], [Guzmán & Lischke & Neilan, 2022],etc.
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- conformal Hessian complex: [Guo & Hu & Lin, 2025], [Huang, 2025]

**Exactness:** These finite element complexes are exact on a contractible domain.

**Question I:** What about the finite element cohomology on a non-contractible domain?

**Question II:** How can one construct local bounded commuting projections for nonstandard finite element complexes?

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# Key ingredient I: Generalized de Rham map

For each smooth BGG complex (e.g., Hessian, divdiv, elasticity, conformal), assume its cohomology is isomorphic to  $\mathcal{Z} \otimes \mathcal{H}_{dR}(\Omega)$

$$0 \longrightarrow C^\infty(\Omega; \mathbb{X}^0) \xrightarrow{d^0} C^\infty(\Omega; \mathbb{X}^1) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} C^\infty(\Omega; \mathbb{X}^n) \longrightarrow 0$$

**Key ingredient I: Generalized de Rham map (generalized currents)**

$$\begin{array}{ccccccc} 0 & \longrightarrow & C^\infty(\Omega; \mathbb{X}^0) & \xrightarrow{d^0} & C^\infty(\Omega; \mathbb{X}^1) & \xrightarrow{d^1} & \dots \xrightarrow{d^{n-1}} C^\infty(\Omega; \mathbb{X}^n) \longrightarrow 0 \\ & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \cdot \downarrow & & \Upsilon_n \downarrow \\ 0 & \longrightarrow & \mathcal{Z} \otimes \Delta'_0 & \xrightarrow{\delta} & \mathcal{Z} \otimes \Delta'_1 & \xrightarrow{\delta} & \dots \xrightarrow{\delta} & \mathcal{Z} \otimes \Delta'_n & \longrightarrow 0 \end{array}$$

Properties of  $\Upsilon_i$ :

- (1) **Surjectivity:**  $\Upsilon_i : C^\infty(\Omega; \mathbb{X}^i) \rightarrow \mathcal{Z} \otimes \Delta'_i$ .
- (2) **Commuting property:**  $\delta \circ \Upsilon_i = \Upsilon_{i+1} \circ d^i$ .

### 3D Hessian complex

$$\begin{array}{ccccccccccc}
 0 & \longrightarrow & C^\infty(\Omega) & \xrightarrow{\text{hess}} & C^\infty(\Omega; \mathbb{S}) & \xrightarrow{\text{curl}} & C^\infty(\Omega; \mathbb{T}) & \xrightarrow{\text{div}} & C^\infty(\Omega; \mathbb{R}^3) & \longrightarrow & 0 \\
 & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \Upsilon_2 \downarrow & & \Upsilon_3 \downarrow & & \\
 0 & \longrightarrow & \mathbb{R}^4 \otimes \Delta'_0 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_1 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_2 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_3 & \longrightarrow & 0
 \end{array}$$

Let  $\{\psi_i\}_{1 \leq i \leq 4}$  be a basis of  $\mathcal{RT}^3 = \{\mathbf{a} + b\mathbf{x} : \mathbf{a} \in \mathbb{R}^3, b \in \mathbb{R}\}$ ,

$$[\Upsilon_0[u](x)]_i = (\psi_i \cdot \nabla u)(x) - \frac{1}{3}(\text{div } \psi_i \cdot u)(x), \quad x \in \Delta_0, 1 \leq i \leq 4$$

$$[\Upsilon_1[\boldsymbol{\sigma}](e)]_i = \int_e (\boldsymbol{\sigma} \mathbf{t}_e) \cdot \psi_i, \quad e \in \Delta_1, 1 \leq i \leq 4$$

$$[\Upsilon_2[\boldsymbol{\tau}](f)]_i = \int_f (\boldsymbol{\tau} \mathbf{n}_f) \cdot \psi_i, \quad f \in \Delta_2, 1 \leq i \leq 4$$

$$[\Upsilon_3[\mathbf{q}](T)]_i = \int_T \mathbf{q} \cdot \psi_i, \quad T \in \Delta_3, 1 \leq i \leq 4$$

### 3D divdiv complex

$$\begin{array}{ccccccc}
 0 & \longrightarrow & C^\infty(\Omega; \mathbb{R}^3) & \xrightarrow{\text{dev } \nabla} & C^\infty(\Omega; \mathbb{T}) & \xrightarrow{\text{sym curl}} & C^\infty(\Omega; \mathbb{S}) & \xrightarrow{\text{divdiv}} & C^\infty(\Omega) & \longrightarrow & 0 \\
 & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \Upsilon_2 \downarrow & & \Upsilon_3 \downarrow & & \\
 0 & \longrightarrow & \mathbb{R}^4 \otimes \Delta'_0 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_1 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_2 & \xrightarrow{\delta} & \mathbb{R}^4 \otimes \Delta'_3 & \longrightarrow & 0
 \end{array}$$

Let  $\{\psi_i\}_{1 \leq i \leq 4}$  be a basis of  $P_1(\Omega)$ ,

$$[\Upsilon_0[\mathbf{u}](x)]_i = \frac{1}{3}(\psi_i \cdot \text{div } \mathbf{u})(x) - (\nabla \psi_i \cdot \mathbf{u})(x), \quad x \in \Delta_0, 1 \leq i \leq 4$$

$$[\Upsilon_1[\boldsymbol{\tau}](e)]_i = \int_e \frac{1}{2}(\text{div } \boldsymbol{\tau}^T \cdot \mathbf{t}_e) \cdot \psi_i - (\boldsymbol{\tau} \mathbf{t}_e) \cdot \nabla \psi_i, \quad e \in \Delta_1, 1 \leq i \leq 4$$

$$[\Upsilon_2[\boldsymbol{\sigma}](f)]_i = \int_f (\text{div } \boldsymbol{\sigma} \cdot \mathbf{n}_f) \cdot \psi_i - (\boldsymbol{\sigma} \mathbf{n}_f) \cdot \nabla \psi_i, \quad f \in \Delta_2, 1 \leq i \leq 4$$

$$[\Upsilon_3[q](T)]_i = \int_T q \cdot \psi_i, \quad T \in \Delta_3, 1 \leq i \leq 4$$

### 3D elasticity complex

$$\begin{array}{ccccccccccc}
 0 & \longrightarrow & C^\infty(\Omega; \mathbb{R}^3) & \xrightarrow{\text{sym } \nabla} & C^\infty(\Omega; \mathbb{S}) & \xrightarrow{\text{inc}} & C^\infty(\Omega; \mathbb{S}) & \xrightarrow{\text{div}} & C^\infty(\Omega; \mathbb{R}^3) & \longrightarrow & 0 \\
 & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \Upsilon_2 \downarrow & & \Upsilon_3 \downarrow & & \\
 0 & \longrightarrow & \mathbb{R}^6 \otimes \Delta'_0 & \xrightarrow{\delta} & \mathbb{R}^6 \otimes \Delta'_1 & \xrightarrow{\delta} & \mathbb{R}^6 \otimes \Delta'_2 & \xrightarrow{\delta} & \mathbb{R}^6 \otimes \Delta'_3 & \longrightarrow & 0
 \end{array}$$

Let  $\{\psi_i\}_{1 \leq i \leq 6}$  be a basis of  $\mathcal{RM}^3 = \{\mathbf{a} + \mathbf{b} \times \mathbf{x} : \mathbf{a}, \mathbf{b} \in \mathbb{R}^3\}$ ,

$$[\Upsilon_0[\mathbf{u}](x)]_i = \frac{1}{2}(\psi_i \cdot \text{curl } \mathbf{u})(x) + \frac{1}{2}(\text{curl } \psi_i \cdot \mathbf{u})(x), \quad x \in \Delta_0, 1 \leq i \leq 6$$

$$[\Upsilon_1[\boldsymbol{\sigma}](e)]_i = \int_e \frac{1}{2}(\boldsymbol{\sigma} \mathbf{t}_e) \cdot \text{curl } \psi_i + ((\text{curl } \boldsymbol{\sigma}) \mathbf{t}_e) \cdot \psi_i, \quad e \in \Delta_1, 1 \leq i \leq 6$$

$$[\Upsilon_2[\boldsymbol{\tau}](f)]_i = \int_f (\boldsymbol{\tau} \mathbf{n}_f) \cdot \psi_i, \quad f \in \Delta_2, 1 \leq i \leq 6$$

$$[\Upsilon_3[\mathbf{q}](T)]_i = \int_T \mathbf{q} \cdot \psi_i, \quad T \in \Delta_3, 1 \leq i \leq 6$$

# Finite element complex with trace structures

Given BGG complex (e.g., Hessian, divdiv, elasticity, conformal)

$$0 \longrightarrow C^\infty(\Omega; \mathbb{X}^0) \xrightarrow{d^0} C^\infty(\Omega; \mathbb{X}^1) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} C^\infty(\Omega; \mathbb{X}^n) \longrightarrow 0$$

- **Shape function space:** For any sub-simplex  $\tau \in \Delta(\mathcal{T})$ , given finite dimensional shape function spaces  $A^k(\tau), 0 \leq k \leq n$
- **Trace structure:** For each  $\eta \trianglelefteq \tau \trianglelefteq \sigma$ , and  $\sigma \in \Delta_n$ , there exists linear trace operators for  $0 \leq k \leq n$

$$\text{tr}_{\sigma \rightarrow \tau}^k : A^k(\sigma) \rightarrow A^k(\tau), \quad \text{tr}_{\tau \rightarrow \eta}^k : A^k(\tau) \rightarrow A^k(\eta),$$

such that

$$\text{tr}_{\sigma \rightarrow \eta}^k u = 0 \text{ implies } \text{tr}_{\tau \rightarrow \eta}^k \circ \text{tr}_{\sigma \rightarrow \tau}^k u = 0.$$

- **Localized generalized currents:** The  $k$ -th generalized currents are a part of the trace structures of  $k$ -th finite element, i.e., for any  $\tau \in \Delta_k$ , there exists localized generalized currents  $\tilde{\Upsilon}_\tau : A^k(\tau) \rightarrow \mathcal{Z}$  such that

$$\tilde{\Upsilon}_\tau(\text{tr}_{\sigma \rightarrow \tau} w) = \Upsilon_k[w](\tau) \text{ for any } w \in A^k(\sigma), \sigma \in \Delta_n, \text{ and } \tau \trianglelefteq \sigma.$$

- **Trace complexes:** For any simplex  $\tau \in \Delta(\mathcal{T})$ , there exists a differential operator  $d_\tau^k : A^k(\tau) \rightarrow A^{k+1}(\tau)$  such that  $d_\tau^{k+1} \circ d_\tau^k = 0$ ,

$$0 \longrightarrow A^0(\tau) \xrightarrow{d_\tau^0} A^1(\tau) \xrightarrow{d_\tau^1} \dots \xrightarrow{d_\tau^{n-1}} A^n(\tau) \longrightarrow 0.$$

For any  $\tau \trianglelefteq \sigma$  with  $\sigma \in \Delta_n$ , it holds  $d_\sigma^k = d_\tau^k|_\sigma$  and

$$d_\tau^k \operatorname{tr}_{\sigma \rightarrow \tau}^k u = \operatorname{tr}_{\sigma \rightarrow \tau}^{k+1} d_\sigma^k u, \quad \forall u \in A^k(\sigma), 0 \leq k \leq n-1.$$

$$\begin{array}{c}
 \sigma \in \Delta_n \\
 \\
 \tau \trianglelefteq \sigma \\
 \\
 \eta \trianglelefteq \tau
 \end{array}
 \begin{array}{ccccccc}
 \dots & \longrightarrow & A^{k-1}(\sigma) & \xrightarrow{d_\sigma^{k-1}} & A^k(\sigma) & \xrightarrow{d_\sigma^k} & A^{k+1}(\sigma) & \longrightarrow & \dots \\
 & & \downarrow \operatorname{tr}_{\sigma \rightarrow \tau}^{k-1} & & \downarrow \operatorname{tr}_{\sigma \rightarrow \tau}^k & & \downarrow \operatorname{tr}_{\sigma \rightarrow \tau}^{k+1} & & \\
 \dots & \longrightarrow & A^{k-1}(\tau) & \xrightarrow{d_\tau^{k-1}} & A^k(\tau) & \xrightarrow{d_\tau^k} & A^{k+1}(\tau) & \longrightarrow & \dots \\
 & & \downarrow \operatorname{tr}_{\sigma \rightarrow \eta}^{k-1} & & \downarrow \operatorname{tr}_{\sigma \rightarrow \eta}^k & & \downarrow \operatorname{tr}_{\sigma \rightarrow \eta}^{k+1} & & \\
 \dots & \longrightarrow & A^{k-1}(\eta) & \xrightarrow{d_\eta^{k-1}} & A^k(\eta) & \xrightarrow{d_\eta^k} & A^{k+1}(\eta) & \longrightarrow & \dots
 \end{array}$$

- **Global space:** Define the global space

$$\mathbf{A}^k = \{u \in L^2(\Omega; \mathbb{X}^k) : u|_\sigma \in A^k(\sigma), \operatorname{tr}_{\sigma \rightarrow \tau}^k u|_\sigma = \operatorname{tr}_{\sigma' \rightarrow \tau}^k u|_{\sigma'}, \\ \text{for all } \tau \trianglelefteq \sigma, \sigma' \text{ and } \sigma, \sigma' \in \Delta_n\}.$$

Finite element complex:

$$0 \longrightarrow \mathbf{A} \xrightarrow{d^0} \mathbf{A}^1 \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \mathbf{A}^n \longrightarrow 0.$$

- **Local bubble space:** Define the bubble space  $B^k(\tau) \subseteq \operatorname{tr}_{\sigma \rightarrow \tau}^k A^k(\sigma)$  with  $\sigma \in \Delta_n$ , such that for any  $a \in B^k(\tau)$ :

(1) **Vanishing traces:**  $\operatorname{tr}_{\tau \rightarrow \eta}^k a = 0$  for all  $\eta \trianglelefteq \tau$  and  $\eta \neq \tau$ .

(2) **Vanishing current:**  $\tilde{\Upsilon}_\tau(a) = 0$  if  $\dim \tau = k$ .

Assume that the following sequence of bubble spaces

$$0 \longrightarrow B^0(\tau) \xrightarrow{d_\tau^0} B^1(\tau) \xrightarrow{d_\tau^1} \dots \xrightarrow{d_\tau^{n-1}} B^n(\tau) \longrightarrow 0$$

forms an **exact complex** for any  $\tau \in \Delta(\mathcal{T})$ .

**DOFs and Basis function:** for any  $w \in \mathbf{A}^k, 0 \leq k \leq n$

- **Skeletal Component:**

- **DOFs:**  $\Upsilon_k[w](\tau)$  for all  $\tau \in \Delta_k$ .
- **Basis space  $\mathbf{S}^k(\mathcal{T})$ :**  $\text{span}\{\text{basis functions dual to skeletal DOFs}\}$ .

- **Bubble Component:**

- **DOFs:**  $\langle \text{tr}_{\sigma \rightarrow \tau} w, \phi \rangle_{B^k(\tau)}$  for all  $\tau \in \Delta(\mathcal{T})$  and  $\phi \in B^k(\tau)$ .
- **Basis space  $\mathbb{B}^k(\tau)$ :**  $\text{span}\{\text{basis functions dual to bubble DOFs}\}$ .

**Direct sum decomposition** of the global finite element space:

$$\mathbf{A}^k = \mathbf{S}^k(\mathcal{T}) \oplus \bigoplus_{\tau \in \Delta(\mathcal{T})} \mathbb{B}^k(\tau).$$

# Key ingredient II: Geometric decomposition

## Key ingredient II: Geometric decomposition of finite element complex

$$0 \longrightarrow \mathbf{A}^0 \xrightarrow{d^0} \mathbf{A}^1 \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \mathbf{A}^n \longrightarrow 0$$

is a **direct sum** of the **Skeletal complex**

$$0 \longrightarrow \mathbf{S}^0(\mathcal{T}) \xrightarrow{d^0} \mathbf{S}^1(\mathcal{T}) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \mathbf{S}^n(\mathcal{T}) \longrightarrow 0$$

and the **Bubble complex**

$$0 \longrightarrow \mathbb{B}^0(\tau) \xrightarrow{d^0} \mathbb{B}^1(\tau) \xrightarrow{d^1} \dots \xrightarrow{d^{n-1}} \mathbb{B}^n(\tau) \longrightarrow 0$$

with all  $\tau \in \Delta(\mathcal{T})$ .

# Cohomology of finite element complex

## Cohomology of subcomplex

- Skeletal complex

$$\begin{array}{ccccccc} 0 & \longrightarrow & S^0(\mathcal{T}) & \xrightarrow{d^0} & S^1(\mathcal{T}) & \xrightarrow{d^1} & \cdots & \xrightarrow{d^{n-1}} & S^n(\mathcal{T}) & \longrightarrow & 0 \\ & & \Upsilon_0 \downarrow & & \Upsilon_1 \downarrow & & \cdot \downarrow & & \Upsilon_3 \downarrow & & \\ 0 & \longrightarrow & \mathcal{Z} \otimes \Delta'_0 & \xrightarrow{\delta} & \mathcal{Z} \otimes \Delta'_1 & \xrightarrow{\delta} & \cdots & \xrightarrow{\delta} & \mathcal{Z} \otimes \Delta'_n & \longrightarrow & 0 \end{array}$$

here  $S^k(\mathcal{T}) \cong \mathcal{Z} \otimes \Delta'_k, 0 \leq k \leq n$ .

- Bubble complex

$$0 \longrightarrow \mathbb{B}^0(\tau) \xrightarrow{d^0} \mathbb{B}^1(\tau) \xrightarrow{d^1} \cdots \xrightarrow{d^{n-1}} \mathbb{B}^n(\tau) \longrightarrow 0$$

is local and exact.

**Conclusion I:** The cohomology of the finite element complex

$$0 \longrightarrow \mathbf{A}^0 \xrightarrow{d^0} \mathbf{A}^1 \xrightarrow{d^1} \cdots \xrightarrow{d^{n-1}} \mathbf{A}^n \longrightarrow 0$$

is isomorphic to  $\mathcal{Z} \otimes \mathcal{H}_{dR}(\Omega)$ .

# Commuting interpolations for finite element complex

**Conclusion II:** Construction of local bounded commuting projections  $\pi^k : L^2(\Omega; \mathbb{X}^k) \rightarrow \mathbf{A}^k$ :

$$\pi^k(w) = \pi_{\mathbf{S}}^k(w) + \sum_{\tau \in \Delta(\mathcal{T})} \pi_{\mathbf{B},\tau}^k(w),$$

here

$$\pi_{\mathbf{S}}^k(w) = \sum_{\tau \in \Delta_k} \sum_{i=1}^{\dim \mathcal{Z}} (w, \Xi_{\tau}^i)_{\text{star}^1(\tau)} \mathbf{E}_{\mathbf{S}}^k(z_i \otimes \tau) \in S^k(\mathcal{T}),$$

and

$$\begin{aligned} \pi_{\mathbf{B},\tau}^k(w) &= \sum_{i=1}^{\mathcal{N}_{\tau,k}} (w, d^* \Omega_{\tau,k+1}^i)_{\text{star}(\tau)} \mathbf{E}_{\tau}^k(\phi_{\tau,k}^i) + \\ &\quad \sum_{i=1}^{\mathcal{N}_{\tau,k-1}} (w, \Omega_{\tau,k}^i)_{\text{star}(\tau)} \mathbf{E}_{\tau}^k(d\phi_{\tau,k-1}^i) \in \mathbb{B}^k(\tau). \end{aligned}$$

with some  $\Xi_{\tau}^i \in H_0^M(\text{star}^1(\tau)) \otimes \mathbb{X}^{\dim \tau}$  and  $\Omega_{\tau,k}^i \in C_0^{2M}(\text{star}(\tau)) \otimes \mathbb{X}^k$ .

**Ref:** [Arnold & Guzmán, 2021], [Ern & Guzmán & Potu & Vohralík, 2025].

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## 2D Stokes complex

Consider the following finite element 2D stokes complex ([Falk & Neilan, 2013])

$$0 \longrightarrow \mathbf{Ar}_k(\Omega) \xrightarrow{\text{curl}} \mathbf{Hm}_{k-1}(\Omega) \xrightarrow{\text{div}} \mathcal{P}_{k-2}^0(\mathcal{T}_h) \longrightarrow 0$$

with  $k \geq 5$ . Here

$$\mathbf{Ar}_k(\Omega) = \{u \in H^2(\Omega) : u|_f \in P_k(f), u \text{ is } C^2 \text{ at each vertex } x \in \Delta_0\}$$

$$\mathbf{Hm}_{k-1}(\Omega) = \{\mathbf{v} \in H^1(\Omega; \mathbb{R}^2) : \mathbf{v}|_f \in P_{k-1}(f, \mathbb{R}^2), \mathbf{v} \text{ is } C^1 \text{ at each vertex } x \in \Delta_0\}$$

$$\mathcal{P}_{k-2}^0(\mathcal{T}_h) = \{p \in L^2(\Omega) : p|_f \in P_{k-2}(f), p \text{ is } C^0 \text{ at each vertex } x \in \Delta_0\}$$

Recall the generalized currents (de Rham map)

$$\Upsilon_x : u \mapsto u(x), \quad \Upsilon_e : \mathbf{v} \mapsto \int_e \mathbf{v} \cdot \mathbf{n}_e, \quad \Upsilon_f : p \mapsto \int_f p$$

# Another set of degrees of freedom

	$\mathbf{Ar}_k(\Omega)$	$\xrightarrow{\text{curl}}$	$\mathbf{Hm}_{k-1}(\Omega)$	$\xrightarrow{\text{div}}$	$\mathcal{P}_{k-2}^0(\mathcal{T}_h)$
<b>Skeletal</b>	$\Upsilon_x(u)$		$\Upsilon_e(v)$		$\Upsilon_f(p)$
<b>Vertex</b>	$\nabla u(x), \nabla^2 u(x)$		$\nabla v(x), v(x)$		$p(x)$
<b>Edge(1)</b>	$(\frac{\partial u}{\partial t}, \frac{\partial b_e^1}{\partial t})_e$		$(v \cdot n, b_e^2)_e$		
<b>Edge(2)</b>	$(\frac{\partial u}{\partial n}, b_e^{1,*})_e$		$(v \cdot t, b_e^{2,*})_e$		
<b>Face</b>	$(\text{curl } u, \text{curl } b_f^1)_f$		$\langle\langle v, b_f^2 \rangle\rangle_f$		$(p, b_f^3)_f$

Here

$$\begin{aligned}
 b_e^1 &\in (\lambda_0 \lambda_1)^3 P_{k-6}(e), \\
 b_e^2 &\in (\lambda_0 \lambda_1)^2 P_{k-5}(e) / \mathbb{R}, \\
 b_e^{1,*} &\in (\lambda_0 \lambda_1)^2 P_{k-5}(e), \\
 b_e^{2,*} &\in (\lambda_0 \lambda_1)^2 P_{k-5}(e), \\
 b_f^1 &\in B_{f,k-6}^2 := (\lambda_0 \lambda_1 \lambda_2)^2 P_{k-6}(f), \\
 b_f^2 &\in (\lambda_0 \lambda_1 \lambda_2) P_{k-4}(f; \mathbb{R}^2),
 \end{aligned}$$

$$b_f^3 \in \{p \in P_{k-2}(f) : p \text{ vanishes at vertices of } f\} / \mathbb{R},$$

$$\text{and } \langle\langle \mathbf{u}, \mathbf{v} \rangle\rangle_f = (P_{\text{im curl} B_{f,k-6}^2} \mathbf{u}, P_{\text{im curl} B_{f,k-6}^2} \mathbf{v})_f + (\text{div } \mathbf{u}, \text{div } \mathbf{v})_f$$

## Separation of DOFs

- For each  $u \in \mathbf{Ar}_k(\Omega)$ ,  $\text{curl } u \in \mathbf{Hm}_{k-1}(\Omega)$  and

“**Skeletal**” DOFs of  $u$  vanish  $\Rightarrow$  “**Skeletal**” DOFs of  $\text{curl } u$  vanish

- For each vertex  $x \in \Delta_0$

“**Vertex**” DOFs of  $u$  at  $x$  vanish  $\Rightarrow$  “**Vertex**” DOFs of  $\text{curl } u$  at  $x$  vanish

- For each edge  $e \in \Delta_1$

“**Edge**” DOFs of  $u$  on  $e$  vanish  $\Rightarrow$  “**Edge**” DOFs of  $\text{curl } u$  on  $e$  vanish

- For each face  $f \in \Delta_2$

“**Face**” DOFs of  $u$  on  $f$  vanish  $\Rightarrow$  “**Face**” DOFs of  $\text{curl } u$  on  $f$  vanish

This also holds for each  $\mathbf{v} \in \mathbf{Hm}_{k-1}(\Omega)$  and  $\text{div } \mathbf{v} \in \mathcal{P}_{k-2}^0(\mathcal{T}_h)$ .

# Geometric decomposition of complex

## Geometric decomposition of finite elements

$$\mathbf{Ar}_k(\Omega) := A^S \oplus (\oplus_{x \in \Delta_0} A^x) \oplus (\oplus_{e \in \Delta_1} A^e) \oplus (\oplus_{f \in \Delta_2} A^f)$$

$$\mathbf{Hm}_{k-1}(\Omega) := H^S \oplus (\oplus_{x \in \Delta_0} H^x) \oplus (\oplus_{e \in \Delta_1} H^e) \oplus (\oplus_{f \in \Delta_2} H^f)$$

$$\mathcal{P}_{k-2}^0(\mathcal{T}_h) := P^S \oplus (\oplus_{x \in \Delta_0} P^x) \oplus (\oplus_{e \in \Delta_1} P^e) \oplus (\oplus_{f \in \Delta_2} P^f)$$

**Geometric decomposition of 2D Stokes complex:** The 2D Stokes complex

$$0 \longrightarrow \mathbf{Ar}_k(\Omega) \xrightarrow{\text{curl}} \mathbf{Hm}_{k-1}(\Omega) \xrightarrow{\text{div}} \mathcal{P}_{k-2}^0(\mathcal{T}_h) \longrightarrow 0$$

is a **direct sum** of the **Skeletal complex**

$$0 \longrightarrow A^S \xrightarrow{\text{curl}} H^S \xrightarrow{\text{div}} P^S \longrightarrow 0$$

and **Bubble complexes**

$$0 \longrightarrow A^\tau \xrightarrow{\text{curl}} H^\tau \xrightarrow{\text{div}} P^\tau \longrightarrow 0$$

with each  $\tau \in \Delta(\mathcal{T}_h)$ .

# Cohomology of 2D Stokes complex

- For each  $\tau \in \Delta(\mathcal{T}_h)$ , the **Bubble complex**

$$0 \longrightarrow A^\tau \xrightarrow{\text{curl}} H^\tau \xrightarrow{\text{div}} P^\tau \longrightarrow 0$$

is **local and exact**.

- The **Skeletal complex**

$$0 \longrightarrow A^S \xrightarrow{\text{curl}} H^S \xrightarrow{\text{div}} P^S \longrightarrow 0$$

is **isomorphic to the simplicial cochain complex**

$$0 \longrightarrow \mathbb{R} \otimes \Delta'_0 \xrightarrow{\delta} \mathbb{R} \otimes \Delta'_1 \xrightarrow{\delta} \mathbb{R} \otimes \Delta'_2 \longrightarrow 0$$

the isomorphism is deduced by  $\{\Upsilon_\bullet\}$ .

- **Conclusion:** The cohomology of the 2D finite element Stokes complex is isomorphic to  $\mathcal{H}_{dR}(\Omega)$ .

# 3D Hessian complex

Consider the following finite element 3D Hessian complex ([Hu & Liang, 2021])

$$0 \longrightarrow U_h \xrightarrow{\text{hess}} \Sigma_h \xrightarrow{\text{curl}} V_h \xrightarrow{\text{div}} Q_h \longrightarrow 0$$

For  $k \geq 9$ , here

$$U_h = \{u \in H^2(\Omega) : u|_T \in P_k(T), u \text{ is } C^4 \text{ at each vertex } x \in \Delta_0, \\ C^2 \text{ on each edge } e \in \Delta_1\}$$

$$\Sigma_h = \{\boldsymbol{\sigma} \in H(\text{curl}, \Omega; \mathbb{S}) : \boldsymbol{\sigma}|_T \in P_{k-2}(T; \mathbb{S}), \boldsymbol{\sigma} \text{ is } C^2 \text{ at each vertex } x \in \Delta_0, \\ C^0 \text{ on each edge } e \in \Delta_1\}$$

$$V_h = \{\mathbf{v} \in H(\text{div}, \Omega; \mathbb{T}) : \mathbf{v}|_T \in P_{k-3}(T; \mathbb{T}), \boldsymbol{\sigma} \text{ is } C^1 \text{ at each vertex } x \in \Delta_0\}$$

$$Q_h = \{\mathbf{q} \in L^2(\Omega; \mathbb{R}^3) : \mathbf{q}|_T \in P_{k-4}(T; \mathbb{R}^3), \boldsymbol{\sigma} \text{ is } C^0 \text{ at each vertex } x \in \Delta_0\}$$

# Another degrees of freedom

	$U_h$	$\xrightarrow{\text{hess}}$	$\Sigma_h$	$\xrightarrow{\text{curl}}$	$V_h$	$\xrightarrow{\text{div}}$	$Q_h$
<b>Skeletal</b>	$\Upsilon_x(u)$		$\Upsilon_e(\sigma)$		$\Upsilon_f(v)$		$\Upsilon_T(q)$
<b>Vertex</b>	$D^\alpha u(x), 2 \leq  \alpha  \leq 4$		$D^\beta \sigma(x),  \beta  \leq 2$		$v(x), \nabla v(x)$		$q(x)$
<b>Edge(1)</b>	$(\frac{\partial^2 u}{\partial t^2}, \frac{\partial^2 b_e^1}{\partial t^2})_e$		$(t^T \sigma t, b_e^2)_e$				
<b>Edge(2)</b>	$(\frac{\partial^2 u}{\partial n \partial t}, \frac{\partial b_e^{1,*}}{\partial t})_e$		$(n_\pm^T \sigma t, b_e^{2,*})_e$				
<b>Edge(3)</b>	$(\frac{\partial^2 u}{\partial n_\pm \partial n_\pm}, \frac{\partial b_e^{1,**}}{\partial t})_e$		$(n_\pm^T \sigma n_\pm, b_e^{2,**})_e$				
<b>Face(1)</b>	$(\nabla_f^2 u, \nabla_f^2 b_f^1)_f$		$\langle\langle \sigma, b_f^2 \rangle\rangle_f^1$		$(E_f(vn), b_f^3)_f$		
<b>Face(2)</b>	$(\nabla_f(\frac{\partial u}{\partial n}), \nabla_f b_f^{1,*})_f$		$\langle\langle \sigma, b_f^{2,*} \rangle\rangle_f^2$		$(n^T vn, b_f^{3,*})_f$		
<b>Tetrahedron</b>	$(\nabla^2 u, \nabla^2 b_T^1)_T$		$\langle\langle \sigma, b_T^2 \rangle\rangle_T^1$		$\langle\langle v, b_T^3 \rangle\rangle_T^2$		$(q, b_T^4)_T$

Redefine the generalized currents of 3D Hessian complex: let  $\{\psi_i\}_{1 \leq i \leq 4}$  be a basis of  $\mathcal{RT}^3 = \{\mathbf{a} + b\mathbf{x} : \mathbf{a} \in \mathbb{R}^3, b \in \mathbb{R}\}$

$$\Upsilon_x : U_h \rightarrow \mathbb{R}^4, \quad [\Upsilon_x(u)]_i = (\psi_i \cdot \nabla u)(x) - \frac{1}{3}(\text{div } \psi_i \cdot u)(x),$$

$$\Upsilon_e : \Sigma_h \rightarrow \mathbb{R}^4, \quad [\Upsilon_e(\sigma)]_i = \int_e (\sigma t) \cdot \psi_i,$$

$$\Upsilon_f : V_h \rightarrow \mathbb{R}^4, \quad [\Upsilon_f(\tau)]_i = \int_f (\tau n) \cdot \psi_i,$$

$$\Upsilon_T : Q_h \rightarrow \mathbb{R}^4, \quad [\Upsilon_T(q)]_i = \int_T q \cdot \psi_i.$$

## Separation of DOFs

- For each  $u \in U_h$ ,  $\text{hess } u \in \Sigma_h$  and

“**Skeletal**” DOFs of  $u$  vanish  $\Rightarrow$  “**Skeletal**” DOFs of  $\text{hess } u$  vanish

- For each vertex  $x \in \Delta_0$

“**Vertex**” DOFs of  $u$  at  $x$  vanish  $\Rightarrow$  “**Vertex**” DOFs of  $\text{hess } u$  at  $x$  vanish

- For each edge  $e \in \Delta_1$

“**Edge**” DOFs of  $u$  on  $e$  vanish  $\Rightarrow$  “**Edge**” DOFs of  $\text{hess } u$  on  $e$  vanish

- For each face  $f \in \Delta_2$

“**Face**” DOFs of  $u$  on  $f$  vanish  $\Rightarrow$  “**Face**” DOFs of  $\text{hess } u$  on  $f$  vanish

- For each tetrahedron  $T \in \Delta_3$

“**Tetrahedron**” DOFs of  $u$  on  $T$  vanish  $\Rightarrow$  “**Tetrahedron**” DOFs of  $\text{hess } u$   
on  $T$  vanish

This also holds for each  $\sigma \in \Sigma_h$  and  $v \in V_h$ .

# Geometric decomposition of complex

## Geometric decomposition of finite elements

$$U_h := U^S \oplus (\oplus_{x \in \Delta_0} U^x) \oplus (\oplus_{e \in \Delta_1} U^e) \oplus (\oplus_{f \in \Delta_2} U^f) \oplus (\oplus_{T \in \Delta_3} U^T)$$

$$\Sigma_h := \Sigma^S \oplus (\oplus_{x \in \Delta_0} \Sigma^x) \oplus (\oplus_{e \in \Delta_1} \Sigma^e) \oplus (\oplus_{f \in \Delta_2} \Sigma^f) \oplus (\oplus_{T \in \Delta_3} \Sigma^T)$$

$$V_h := V^S \oplus (\oplus_{x \in \Delta_0} V^x) \oplus (\oplus_{e \in \Delta_1} V^e) \oplus (\oplus_{f \in \Delta_2} V^f) \oplus (\oplus_{T \in \Delta_3} V^T)$$

$$Q_h := Q^S \oplus (\oplus_{x \in \Delta_0} Q^x) \oplus (\oplus_{e \in \Delta_1} Q^e) \oplus (\oplus_{f \in \Delta_2} Q^f) \oplus (\oplus_{T \in \Delta_3} Q^T)$$

**Geometric decomposition of 3D Hessian complex:** The 3D Hessian complex

$$0 \longrightarrow U_h \xrightarrow{\text{hess}} \Sigma_h \xrightarrow{\text{curl}} V_h \xrightarrow{\text{div}} Q_h \longrightarrow 0$$

is a **direct sum** of the **Skeletal complex**

$$0 \longrightarrow U^S \xrightarrow{\text{hess}} \Sigma^S \xrightarrow{\text{curl}} V^S \xrightarrow{\text{div}} Q^S \longrightarrow 0$$

and **Bubble complexes**

$$0 \longrightarrow U^\tau \xrightarrow{\text{hess}} \Sigma^\tau \xrightarrow{\text{curl}} V^\tau \xrightarrow{\text{div}} Q^\tau \longrightarrow 0$$

with each  $\tau \in \Delta(\mathcal{T}_h)$ .

# Cohomology of 3D Hessian complex

- For each  $\tau \in \Delta(\mathcal{T}_h)$ , the **Bubble complex**

$$0 \longrightarrow U^\tau \xrightarrow{\text{hess}} \Sigma^\tau \xrightarrow{\text{curl}} V^\tau \xrightarrow{\text{div}} Q^\tau \longrightarrow 0$$

is **local and exact**.

- The **Skeletal complex**

$$0 \longrightarrow U^S \xrightarrow{\text{hess}} \Sigma^S \xrightarrow{\text{curl}} V^S \xrightarrow{\text{div}} Q^S \longrightarrow 0$$

is **isomorphic to the simplicial cochain complex**

$$0 \longrightarrow \mathbb{R}^4 \otimes \Delta'_0 \xrightarrow{\delta} \mathbb{R}^4 \otimes \Delta'_1 \xrightarrow{\delta} \mathbb{R}^4 \otimes \Delta'_2 \xrightarrow{\delta} \mathbb{R}^4 \otimes \Delta'_3 \longrightarrow 0$$

the isomorphism is deduced by  $\{\Upsilon_\bullet\}$ .

- **Conclusion:** The cohomology of the 3D finite element Hessian complex is isomorphic to  $\mathbb{R}^4 \otimes \mathcal{H}_{dR}(\Omega)$ .

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# Conclusion and Extension

**Conclusion:** We provide a unified framework to investigate the **cohomology and bounded interpolation** of nonstandard finite element complexes, e.g. Stokes, Hessian, elasticity, divdiv.

**Possible extension:**

- Boundary treatment. ([Brubeck & Liang & Parker, in preparation])
- $p$ -version, spline function, distributional function.
- Other BGG complexes whose cohomology is not isomorphic to  $\mathcal{Z} \otimes \mathcal{H}_{dR}(\Omega)$ , e.g., the curl-div and grad-curl complex.
- Other meshes.

**Reference:**

- J. Hu, Y. Liang, and T. Lin, Finite Element Complexes with Traces Structures: A unified framework for cohomology and bounded interpolation.[arXiv:2509.23788]

# Thanks!